September 12, 2007

Ms. Michele Collins FINRA Dispute Resolution One Liberty Plaza 165 Broadway, 52nd Floor New York, NY 10006 Facsimile (301) 527-4838 VIA Facsimile (w/o enclosures) and FedEx

Linda Hale v. optionsXpress, Inc. FINRA - DR Case No. 06-05183

Dear Ms. Collins:

This letter notifies you that today optionsXpress, Inc., by its counsel, filed the enclosed Application to Vacate Arbitration Award rendered in the above-referenced matter.

Regards.

Hillary Victor Corporate Counsel

optionsXpress Holdings, Inc.

Cc Via US Mail:

Neil Solomon, Esq. (w/o enclosure), 4174 St. Lukes Lane, Jupiter, Florida 33458 Jeffry Henderson (w/o enclosure), Henderson & Lyman, 175 West Jackson Boulevard, Suite 240, Chicago, IL 60604 Robert B. Christie, (w/o enclosure) Henderson & Lyman, 175 West Jackson Boulevard, Suite 240, Chicago, IL 60604 Ms. Rose Schindler, Vice President and Director FINRA Dispute Resolution, Boca Center Tower 1, 5200 Town Center Circle, Boca Raton, FL 33486